



**Significant Financial Interest(s):** Significant Financial Interests include but are not limited to:

- *Income* including salary or other payment for services including consulting fees, honoraria, reimbursement of expenses (including travel), royalty payments, dividends, loans from an entity, or any other payments or consideration with value, including payments made to a health sciences compensation plan, during the prior twelve months or anticipated in the next twelve months, *of \$10,000 or more*;
- *Equity* in the form of stock, stock options, private equity, warrants, real estate, loans to or from an entity, or any other investment or ownership interest exceeding *either* \$10,000 (current market value if publicly traded; otherwise, amount of investment) *or* a 5% ownership interest, for any one enterprise;
- *Ownership or other interest* in an entity that is proposed as a subcontractor, consortium member, lessor or otherwise involved in the project;
- *Venture or other capital financing*;
- *Intellectual property interest* on a patent, patent application, or copyright assigned or licensed to a party other than The Regents of the UC.

Reporting is for the individual, his/her spouse or registered domestic partner, and dependent children. Specifically excluded from the definition of Significant Financial Interests are payments made by The Regents of the UC, honoraria, reimbursement of expenses, or any other remuneration from the University including salary, stipends and royalty payments. Also excluded is income from seminars, lectures, or teaching engagements sponsored by public or non-profit entities, or income from service on advisory committees or review panels for public or nonprofit entities.

Under the California Public Records Act, this information may be made available to the public upon request.